



*Hammersmith and Fulham
Clinical Commissioning Group*

Month 6 Hammersmith & Fulham CCG Finance Report

08-November-16

Contract Acronyms

Key message

The following acronyms are used throughout this pack.

Acronym	Full Provider Name
ASP	Ashford And St Peter's Hospitals NHS Foundation Trust
BLT	Bart's Health NHS Trust
C&W	Chelsea And Westminster Hospital NHS Foundation Trust
CLCH	Central London Community Healthcare NHS Trust
CNWL	Central And North West London MH NHS Foundation Trust
EHT	Ealing Hospital NHS Trust
ESH	Epsom And St Helier University Hospitals NHS Trust
GOSH	Great Ormond Street Hospital For Children NHS Foundation Trust
GSTT	Guys And St Thomas NHS Foundation Trust
HRCH	Hounslow And Richmond Community Healthcare NHS Trust
HUH	Homerton University Hospital NHS Foundation Trust
HWP	Heatherwood And Wrexham Park Hosps NHS Foundation Trust
ICTH	Imperial College Healthcare NHS Trust
KCH	Kings College Hospital NHS Foundation Trust
KHT	Kingston Hospital NHS Trust
MEH	Moorefield's Eye Hospital NHS Foundation Trust
NWLHT	North West London Hospitals NHS Trust
RBH	Royal Brompton And Harefield NHS Foundation Trust
RMH	The Royal Marsden Hospital NHS Foundation Trust
RNOH	Royal National Orthopaedic Hospital NHS Trust
RSC	Royal Surrey County NHS Foundation Trust
SGT	St George's Healthcare NHS Trust
SLAM	South London And Maudsley NHS Foundation Trust
SWL&StG	South West London And St George's Mental Health NHS Trust
THH	The Hillingdon Hospital NHS Foundation Trust
UCLH	University College London NHS Foundation Trust
WHH	The Whittington Hospital NHS Trust
WLMH	West London Mental Health NHS Trust
WMUH	West Middlesex University Hospital NHS Trust

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Executive summary











		Status
Overall financial position	At month 6 the CCG is reporting the year to date surplus on plan and is forecasting delivery of the planned £2.72m surplus. The key movements to highlight this month are as follows:	Green
	(1) Acute contracts are overperforming by £1.68m year to date. This is based on activity data for April to August and there has been an improvement of £0.60m from the previous month. The acute reserve has been released pro rata to the YTD spend and this has reduced the overspend to £1.10m. The forecast outturn is an overspend of £2.96m, after mitigations.	Amber
	(2) Continuing healthcare and physical disability placement budgets are continuing to face pressures and are overspending by £0.87m at month 6. There have been 7 unoccupied block beds at St Vincents for the past 4 months but the controlled admission process has now been increased from 1 to 2 per week. Coupled with the increase in highly complex care packages the forecast is an overspend of £1.64m.	
	(3) The YTD overspend across all areas is £3.50m and this has required the release of reserves and contingency to manage the position. Overall the forecast has deteriorated slightly in month 6 which has been offset through the release of additional balance sheet gains.	
Risks and opportunities	In the most likely case the CCG has identified a net recurrent risk of £2.56m, mitigated by a net non recurrent opportunity of £2.51m. The CCG has a small overall risk of £0.05m and the range is assessed to be an upside of £4.81m to a worsening in the forecast surplus of £3.58m.	Amber
Underlying position	The underlying position is a forecast surplus of £0.42m which is a worsening of £5.87m from the position in the operating plan and 5 year model.	Red
Contract performance	The year to date contract position at month 6 is based on activity data received for month 5 extrapolated forward. Overall the acute contracts are overperforming by £1.68m year to date with forecast overperformance of £4.56m. The largest YTD overspends are from ICHT £0.23m, C&W £0.88m and RBH £0.29m.	Amber
QIPP	The YTD QIPP position is £0.54m below plan at month 6. The QIPP forecast of 30% underdelivery is after using non recurrent measures of £2.69m. A number of the community schemes are showing underdelivery of savings in acute contracts.	Red
Reserves	The forecast position assumes the full £4.19m QIPP gap will remain for 2016/17 with no further schemes identified to deliver savings in the year. £2.51m reserves have been retained as they cover existing commitments. All other available reserves have now been released to support delivery of the CCG planned surplus.	Green

Source: Team analysis

CCG Finance Dashboard

Key

-  On plan
-  Take note
-  Action required

Indicator		Target	Actual	Rating this month		
Financial position year to date	Agreed surplus	£1,361k	£1,361k		0.0%	
Financial position forecast outturn	Agreed surplus	£2,722k	£2,722k		0.0%	
Running costs outturn		£3,841k	£3,841k		0.0%	
Underlying position		£6,294k	£422k		(93.3%)	variance from plan
QIPP year to date		£2,687k	£2,148k		(20.0%)	variance from plan
QIPP forecast outturn		£7,795k	£5,469k		(29.8%)	variance from plan
Risks and opportunities	Risk/opportunity	£0k	(£54k)			net (risk) / opportunity outside reported position
Creditors - Better Payment Practice Code		95.0%	92.8%		(2.3%)	of invoice value paid in 30 days
Capital forecast outturn		£850k	£850k		0.0%	subject to business cases
Cash		£133,620k	£133,120k		(0.4%)	variance from plan

Risks and Opportunities

Key Message

The likely net risk and opportunity position at M06 is £0.05m adverse. This is a worsening from M05 where the CCG reported a net opportunity. The main change relates to NHS Property Services and the move to charging at market rent. The increase in the invoiced position above 2015/16 is currently £0.69m. Whilst NHSE have confirmed funding for the increase will be provided, the NHSE view of the impact is £0.24m and so there is a potential shortfall. NHS Property Services have been asked to provide a bridge of the movement from our 15/16 position to demonstrate the impact of voids and occupancy changes that have reduced the funding available for H&F CCG. This is still outstanding.

	Area	Amount	Likely Case		Best Case		Worst Case	
		£000s	Probability	£000s	Probability	£000s	Probability	£000s
Recurrent								
Risks	Acute Commissioning	(3,301)	57%	(1,871)	0%	0	100%	(3,301)
	Continuing Healthcare	(1,062)	33%	(347)	4%	(41)	58%	(612)
	Prescribing	(687)	80%	(550)	0%	0	100%	(687)
	Other risks	(857)	81%	(691)	81%	(691)	100%	(857)
	Subtotal Recurrent Risks	(5,907)	59%	(3,458)	12%	(731)	92%	(5,457)
Opportunities	Other mitigations	1,762	51%	898	100%	1,762	32%	557
	Subtotal Recurrent Opportunities	1,762	51%	898	100%	1,762	32%	557
	Net recurrent (risk) / opportunity	(4,145)	62%	(2,561)	-25%	1,031	118%	(4,900)
Non Recurrent								
Risks	Community Health	(108)	50%	(54)	25%	(27)	100%	(108)
	Subtotal Non Recurrent Risks	(108)	50%	(54)	25%	(27)	100%	(108)
Opportunities	Non recurrent measures	2,530	78%	1,977	100%	2,530	56%	1,423
	Other mitigations	3,178	18%	584	40%	1,278	0%	0
	Subtotal Non Recurrent Opportunities	5,708	45%	2,560	67%	3,808	25%	1,423
	Net non recurrent (risk) / opportunity	5,600	45%	2,507	68%	3,781	23%	1,316
	Overall net (risk) / opportunity	1,455		(54)		4,812		(3,584)

CWHHE - Risk Share

Month 6 Risk Share Enactment (included within forecast outturn)

	Central London	West London	Hammersmith and Fulham	Hounslow	Ealing	CWHHE Total
Acute over performance above reserves	0	0	0	0	0	0
Support provided to balance FOT	0	0	0	0	0	0

Notes

No Risk share has been enacted in 2016-17

Month 6 CWHHE R&O Summary

	Central London	West London	Hammersmith and Fulham	Hounslow	Ealing	CWHHE Total
	£000s	£000s	£000s	£000s	£000s	Value
Acute over-performance	(1,813)	(307)	(402)	(823)	(2,078)	(5,421)
Other CCG risks	(2,752)	(8,885)	(3,111)	(3,463)	(4,135)	(22,345)
Total CCG level risks	(4,565)	(9,192)	(3,512)	(4,286)	(6,212)	(27,767)
Total CCG level opportunities	2,800	13,220	3,458	6,514	3,142	29,134
Net CCG (risk)/ opportunity	(1,765)	4,028	(54)	2,227	(3,070)	1,367
Adjustments:						
Acute over-performance Risk Share	0	0	0	0	0	0
Further SaHF commitments	0	0	0	0	0	0
Options for additional investment	0	0	0	0	0	0
Shared opportunities	0	0	0	0	0	0
Overall net (risk)/ opportunity	(1,765)	4,028	(54)	2,227	(3,070)	1,367

Memo - acute over-performance in forecast out-turn (total)

£000	(5,579)	(3,145)	(4,282)	(3,079)	(11,845)	(27,930)
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Notes

Please note all of this is based on potential risks and opportunities and has not been included in the actual financial forecast. CCGs have a total net opportunity of £1.367m, with Central London and Ealing having a large net risk, offset by opportunities in the other 3 CCGs.

The memo above is included here to show acute over-performance has been reported in the actual forecast out-turn.

Underlying Position

Key Messages

The underlying position is a forecast surplus of £0.42m which is a worsening of £5.87m from the position in the operating plan and 5 year model.

In addition to the FOT changes, the assumption regarding the full year effect of QIPP savings in acute has been reviewed. Previously this was based on plan. This has now been revised down based on current QIPP forecasting using SUS data and reduces the full year benefit from £2.32m to £0.7m. This is partially offset by a reduction in the full year effect of the community services investment due to a change in the ramp up seen in year. Cardio respiratory has the highest impact which is a reduction of £0.79m.

If we then consider the recurrent risk position identified on the previous slide, this would worsen the position by a further £2.56m, to a deficit of £2.14m.

		16/17 (£'000s)							
Resource	Allocation	Annual Budget	FOT	Less Non Recurrent Items	Add Part Year Effects	Underlying Position	Operating Plan Underlying Position	Movement	Explanation of Movement
	Allocation	266,567	266,567	(3,512)	0	263,055	263,055	0	
Expenditure	Acute Contracts	135,655	137,942	(33)	(700)	137,209	136,742	(466)	£2.959k worsening of SLA position after reserves Part year effect of QIPP saving ramp up throughout 16/17 Recurrent underspends on InHealth & UCC
	Continuing Care	19,055	20,574	(360)	(186)	20,028	19,055	(973)	Worsening of placement position
	Community Health	31,957	32,534	(1,066)	1,083	32,551	30,480	(2,070)	£1,166k worsening due to increase in QIPP gap from CLCH SLA Part year effect of QIPP investment ramp up throughout 16/17 Neuro Rehab overspend
	Mental Health	34,584	34,392	(446)	106	34,052	34,342	290	Envelope benefit
	Prescribing	21,502	20,643	0	0	20,643	21,502	859	As per current forecast outturn
	Primary Care	7,661	8,038	(415)	0	7,623	7,116	(507)	£527k GP IT previously treated as non recurrent
	Other	9,590	5,881	762	0	6,643	3,639	(3,004)	£3,033 recurrent QIPP gap still in position partly offset by uncommitted recurrent investment reserve
	Corporate Costs	3,841	3,841	44	0	3,885	3,885	0	
	Total Expenditure	263,845	263,845	(1,514)	303	262,633	256,761	(5,872)	
	Surplus/Deficit	2,722	2,722	(1,998)	(303)	422	6,294	5,872	
Surplus/Deficit %	1.02%	1.02%			0.16%	2.39%			
						Likely Net Recurrent Risk/Opportunity	(2,561)		
						Risk Adjusted Underlying Position	(2,139)		

Surplus/Deficit including Running Costs - Year to Date

Key message

The in-month position for month 6 is a worsening of £0.14m, and brings the YTD overspend to £3.50m. £1.22m is due to the unidentified QIPP being released into the YTD position as per the QIPP plan.

The mitigations brought into the position are highlighted below. The release of reserves and contingency has offset £1.96m of the overspend but leaves a balance of £1.54m. This has been managed through the release of balance sheet gains, enabling the CCG to report on plan for month 6. The resulting forecast position is discussed on the next slide.

Allocation	In-month before mitigations	Year to date before mitigations			Mitigations			Reported position		
		Variance	Budget	Actual	Variance	Budget	Actual	Variance	Budget	Actual
Resource Limit	0	131,474	131,474	0	0	0	0	131,474	131,474	0
Acute Contracts	600	59,705	61,390	(1,684)	581	0	581	60,286	61,390	(1,104)
Other Acute Services	(33)	7,130	6,838	291	0	0	0	7,130	6,838	291
Total Acute Services	567	66,835	68,228	(1,393)	581	0	581	67,416	68,228	(812)
Continuing Care	(193)	9,527	10,216	(689)	0	0	0	9,527	10,216	(689)
Community Health	(119)	15,848	16,349	(501)	153	0	153	16,001	16,349	(348)
Mental Health	(61)	17,301	17,294	7	29	0	29	17,330	17,294	36
Prescribing	39	10,789	10,356	433	0	0	0	10,789	10,356	433
Primary Care	22	3,822	4,060	(238)	0	0	0	3,822	4,060	(238)
Total Non Acute Services	(312)	57,287	58,275	(988)	182	0	182	57,469	58,275	(806)
Other Commissioned Services	21	3,331	3,232	99	0	0	0	3,331	3,232	99
Commissioning Reserves	(413)	(1,221)	0	(1,221)	1,198	(1,542)	2,740	(23)	(1,542)	1,519
Total Other	(392)	2,110	3,232	(1,122)	1,198	(1,542)	2,740	3,308	1,690	1,618
Total Commissioning Spend	(138)	126,232	129,734	(3,503)	1,961	(1,542)	3,503	128,192	128,192	(0)
Running Costs	0	1,921	1,920	0	0	0	0	1,921	1,920	0
Total Expenditure	(138)	128,152	131,655	(3,503)	1,961	(1,542)	3,503	130,113	130,113	(0)
Surplus/Deficit Position	(138)			(3,503)			3,503	1,361	1,361	(0)

Note: All figures show in £'000s

Surplus/Deficit including Running Costs - Forecast Outturn

Key message

The month 6 forecast assumes the overspends in acute and continuing care will worsen but these will be mitigated through the further release of contingency, reserves and balance sheet gains. The detailed reserves position underpinning this forecast is shown on slide 9.

Allocation	15/16 Outturn	16/17 Full year			Forecast Variance at M5	Change in FOT Variance
		Budget	Forecast	Variance		
Resource Limit	266,328	266,567	266,567	0	0	0
Acute Contracts	115,658	119,976	122,935	(2,959)	(3,367)	408
Other Acute Services	16,806	15,679	15,007	672	787	(114)
Total Acute Services	132,464	135,655	137,942	(2,286)	(2,580)	294
Continuing Care	18,812	19,055	20,574	(1,520)	(1,089)	(431)
Community Health	33,983	31,957	32,534	(577)	(643)	66
Mental Health	33,570	34,584	34,392	192	225	(33)
Prescribing	20,796	21,502	20,643	859	937	(78)
Primary Care	7,691	7,661	8,038	(376)	(399)	22
Total Non Acute Services	114,852	114,759	116,181	(1,422)	(968)	(454)
Other Commissioned Services	8,809	6,617	6,452	165	156	9
Commissioning Reserves	(2,888)	2,972	(572)	3,544	3,392	152
Total Other	5,921	9,590	5,881	3,709	3,548	160
Total Commissioning Spend	253,238	260,004	260,004	(0)	(0)	(0)
Running Costs						
Corporate Costs	3,936	3,841	3,841	0	(0)	0
Total Expenditure	257,174	263,845	263,845	(0)	(0)	(0)
Surplus/Deficit Position	9,154	2,722	2,722	(0)	0	0

Note: All figures show in £'000s

Movement of Variances including Running Costs

Initiative	Year to date variance (£'000s)			Forecast outturn variance (£'000s)			Further detail
	Month 6	Month 5	Movement	Month 6	Month 5	Movement	
Acute Contracts	(1,684)	(2,285)	600	(4,559)	(4,957)	398	See "Acute Contracts: Year to Date Performance" slide Imperial improvement: £692k YTD and £226k forecast
Acute Reserve	581	739	(158)	1,600	1,590	10	Released into YTD position in line with forecast overspend. Total figure changes on a monthly basis as contracts are finalised.
Other Acute Services	291	324	(33)	672	787	(114)	£65k increased UCC FOT due to M4-5 data quality issues. £34k increase of NCA FOT based on invoices received. £25k deterioration on BMI contract.
Continuing Care	(689)	(496)	(193)	(1,520)	(1,089)	(431)	£257k Phys Dis deterioration due to additional high complex clients. £142k Continuing Care placement deterioration due to incorrect recharge calculation in previous months. £45k RHND transitional funding now included in forecast.
Community Health	(348)	(255)	(93)	(577)	(643)	66	£46k forecast reduction on Ophthalmology contract. £108k increase in YTD Cardio-Respiratory contract based on M1-5 data.
Mental Health	36	98	(61)	192	225	(33)	£25k increased IFR forecast.
Prescribing	433	394	39	859	937	(78)	Based on M1-4 IPP reports and 16/17 phasing, July actual £31k greater than estimated last month.
Primary Care	(238)	(260)	22	(376)	(399)	22	
Commissioning Reserves	1,519	1,662	(143)	3,544	3,392	152	£97k balancing contingency from last month removed. £54k additional prior year accruals released in month.
Other Commissioned Services	99	78	21	165	156	9	
Corporate Costs	0	0	0	0	0	0	
Total	(0)	(0)	(0)	(0)	0	(0)	

Corporate Expenditure

Key message

Overall the CCG is expected to achieve its Running Cost control total and underspend on corporate costs as a whole.

Admin: Included in the YTD actuals is a contingency of £0.04m used to break even the position

Programme: Included in the YTD actuals is a contingency of £0.03m offsetting the CWHHE Collaborative underspend

	Admin						Programme						
	YTD Budget	YTD Actual	YTD Variance	Annual Budget	Forecast	Forecast Variance	YTD Budget	YTD Actual	YTD Variance	Annual Budget	Forecast	Forecast Variance	
Local CCG Budgets	CCG Pay - Permanent	485	398	86	969	843	126	409	376	33	819	773	46
	CCG Pay - Interim	0	72	(72)	0	108	(108)	0	34	(34)	0	71	(71)
	Board Costs	268	281	(13)	536	561	(25)	21	(0)	21	42	(0)	42
	Non Pay	179	172	7	359	349	10	57	48	9	113	98	15
	Local Total	932	923	9	1,864	1,861	3	487	458	28	974	942	32
Pooled Budgets	CSS	532	510	21	1,063	1,059	4	307	294	13	615	605	10
	Triborough - Adult, MH and Childrens	169	168	1	338	322	16	35	42	(7)	69	73	(4)
	CWHHE - Collaborative Teams	485	475	11	971	959	12	398	368	30	796	741	56
	Affordability Adjustment	(197)	(197)	0	(395)	(395)	0	0	0	0	0	0	0
	Balance	0	41	(41)	0	35	(35)	3	30	(26)	7	56	(49)
	Pooled Total	989	997	(9)	1,977	1,980	(3)	743	734	10	1,487	1,474	13
Grand Total	1,921	1,921	(0)	3,841	3,841	0	1,230	1,192	38	2,461	2,416	45	

Note: All figures show in £'000s

Reserves

Key Messages

The forecast position assumes the full £4.19m QIPP gap will remain for 2016/17 with no further schemes identified to deliver savings in the year. The highlighted lines show the reserves which have been retained as they cover existing commitments. All other available reserves have now been released to support delivery of the CCG planned surplus.

	Area	Description	Budget 16/17 £000	YTD Plan £000	YTD Actual £000	YTD Variance £000	FOT £000	FOT Variance £000	Comments	
Recurrent	Acute Contracts	SLA Reserve	1,600	581	0	581	0	1,600	Remaining envelope after funding acute SLAs. Released into YTD in line with forecast SLA overperformance.	
		LAS CQC	0	0	0	0	0	0	Budget moved to above line, now funded from resilience.	
	Community Health	SLA Reserve	306	153	0	153	0	306	Remaining envelope after funding community SLAs, released in 12ths	
	Mental Health	SLA/Placement Reserve	158	29	0	29	0	158	Remaining MH SLA envelope (released in 12ths) and MH placement modelled growth not required (released in line with placement overspend).	
	Commissioning Reserves	Healthy London Partnership	0	0	0	0	0	0	0	Resource transferred to Islington CCG in M3
		PMS Review	200	0	0	0	0	0	200	Slipped into 17/18
		Local Investment Reserve	313	0	0	0	0	0	313	
		Eating Disorders Anticipated IAT	0	0	0	0	0	0	0	Resource transferred from NHS England in M3
		QIPP Unidentified - opening	(3,033)	(583)	0	(583)	0	(3,033)	Phased as per QIPP plan	
		QIPP Unidentified - in year changes	(1,166)	(224)	0	(224)	0	(1,166)	Increased due to reduced CLCH SLA QIPP	
Recurrent Total			(1,621)	(44)	0	(44)	0	(1,621)		
Non Recurrent	Commissioning Reserves	Local Investment Reserve	132	0	0	0	38	94	TCP LD scheme estimate included in FOT	
		QIPP Contingency	2,690	517	0	517	0	2,690	Phased in line with QIPP Unidentified	
		Home Oxygen Anticipated IAT	(118)	0	0	0	(118)	0	Resource transfer not yet actioned	
		NWL Financial Strategy	0	0	0	0	0	0	Agreed contribution, transferred to Central London CCG	
		General Contingency	1,361	681	0	681	0	1,361	Released in 12ths	
		1% Uncommitted Reserve	2,592	0	0	0	2,592	0	Budgeted as per NHS England guidance	
		Prior Year	0	0	(1,542)	1,542	(3,084)	3,084	15/16 performance estimates higher than finalised positions so benefit in 16/17	
Non Recurrent Total			6,658	1,198	(1,542)	2,740	(572)	7,229		
Reserves Total			5,037	1,153	(1,542)	2,695	(572)	5,609		

Note: All figures show in £'000s

Quality, Improvement, Productivity plan (QIPP)

Key Messages

The YTD QIPP position is £0.54m below plan at month 6. The QIPP forecast of 30% underdelivery is after using non recurrent measures of £2.69m. A number of the community schemes are showing underdelivery of savings in acute contracts.

Scheme	Year to date						Full year				Comments			
	Gross Savings			Investments			Net Position			Net Position				
	Plan	Actual	Variance	Plan	Actual	Variance	Plan	Actual	Variance	Plan	Forecast	Variance	% Variance	
Community Cardio-Respiratory Service	216	220	4	167	124	43	49	95	47	429	238	(192)	-45%	Revised forecast based on current data, planned acute activity reduction too ambitious
Community Contract Efficiencies (CLCH)	360	174	(186)	0	0	0	360	174	(186)	1,700	348	(1,352)	-80%	
Community Gynaecology	188	59	(129)	46	7	39	142	52	(90)	287	85	(203)	-71%	Increase diagnostic tests (acute setting) which require a follow up appointment
Community MSK Service	96	97	1	0	0	0	96	97	1	325	218	(107)	-33%	
Community Ophthalmology	381	77	(304)	80	0	80	301	77	(224)	620	257	(363)	-58%	Poor referral management/S1 use and high DNA rate
MH Placement Efficiencies	93	93	0	0	0	0	93	93	0	186	186	0	0%	
Model of Care- Older Adults (A&E)	6	0	(6)	0	0	0	6	0	(6)	13	0	(13)	-100%	
Model of Care- Older Adults (NEL)	193	71	(122)	0	0	0	193	71	(122)	390	142	(249)	-64%	
Out of Hospital Services- Diabetes Level 2	28	35	7	0	0	0	28	35	7	57	57	0	0%	Slow take up
Out of Hospital Services- Ring Pessary	28	54	26	0	0	0	28	54	26	57	57	0	0%	
Out of Hospital Services-ECG	28	27	(1)	0	0	0	28	27	(1)	57	57	0	0%	
Out of Hospital Services-Near Patient Monitoring	6	10	4	0	0	0	6	10	4	12	12	0	0%	Slow take up
Primary Care prescribing	400	400	0	0	0	0	400	400	0	800	800	0	0%	
St Mary's UCC	67	52	(15)	58	36	22	9	16	7	18	8	(10)	-54%	
In-Health Diagnostics	0	157	157	0	0	0	0	157	157	0	316	316	100%	New scheme
Unidentified QIPP	948	0	(948)	0	0	0	948	0	(948)	2,844	0	(2,844)	-100%	
Non-Recurrent Contingencies	0	791	791	0	0	0	0	791	791	0	2,690	2,690	100%	
Total	3,038	2,316	(722)	351	167	184	2,687	2,148	(538)	7,795	5,469	(2,325)	-30%	

Note: All figures show in £'000s

Capital, Creditor Payments and Cash

Key message

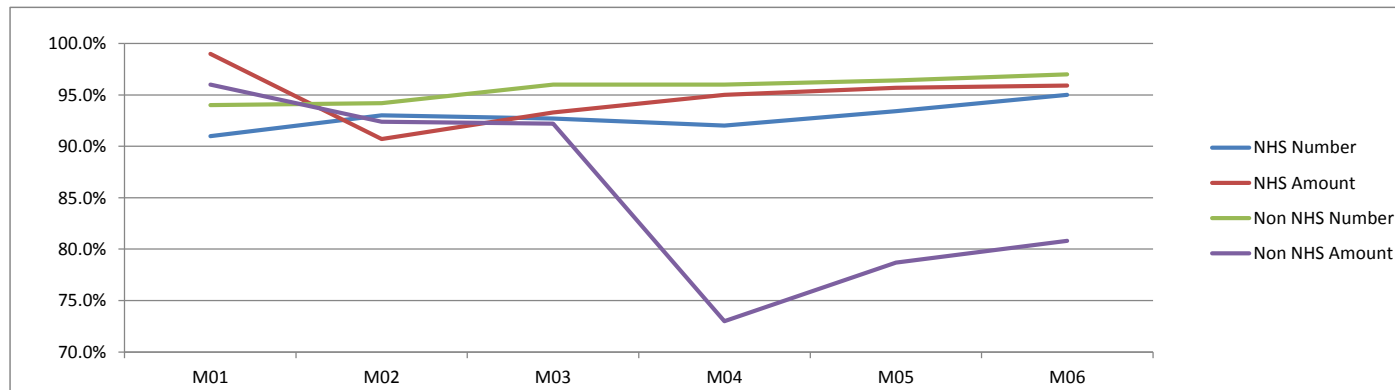
Capital

- Grants with PID in progress: Parsons Green Health Centre £500k, Bridge House Centre for Health £250k, CCG IT Refresh £100k.

	Invoice Count	Invoice Count (Passed)	% Passed	BPPC Amount	Invoice Amount (Passed)	% Amount Passed
NHS	1,944	1,846	95.0%	104,932	100,619	95.9%
Non NHS	5,793	5,619	97.0%	27,158	21,946	80.8%
Total	7,737	7,465	96.5%	132,091	122,565	92.8%

The CCG has met the cumulative Better Payment Practice Code (BPPC) target for the invoice numbers and not invoice amount overall. However the CCG has met the NHS invoice number and amount and Non NHS invoice number. We are currently working with budget holders to ensure that invoices are coded and that outstanding queries are dealt with promptly in order that invoices are paid within payment terms.

Creditor payments



Cash

- | | | | |
|---------------------------------|-----------|---------------------|----------|
| Maximum Cash Drawdown Plan: | £263.38m* | Drawn Down to Date: | £133.12m |
| Forecast Draw Down at Month 06: | £133.62m | Over Drawn: | £0.05m |

*The Maximum cash drawn (MCD) limit for 2016/17 is £263.38m as circulated by NHSE in the September 2016 cash report. This forecast is based on our planned net expenditure for the year less any non cash expenditure. The September 2016 cash report is showing a drawdown of £20.00m (£21.73m less drug prescribing). There was an adverse variance against planned cash drawn down to date of £0.05m, this was due to extra cash requirement in order to settle outstanding prior year creditors. The forecast cash drawdown is based on the Planned MCD of £263.38m.

Acute Contracts: Year to Date Performance



Hammersmith and Fulham
Clinical Commissioning Group

Key Messages

The year to date contract position at month 6 is based on the activity data received for month 5, less £0.24 mitigations and extrapolated forward.

Overall the acute contracts are overperforming by £1.68m YTD. This is partially offset by releasing uncommitted acute reserves to give a YTD overspend of £1.10m.

The forecast overperformance of £4.56m is offset by the £1.60m acute reserve to give an overall overspend of £2.96m.

Provider	M5 YTD SLAM					M6 YTD Position			Full Year Outturn Position		
	Plan	Actual	Mitigations	Mitigated Actual	Mitigated Variance	Plan	Mitigated Actual	Mitigated Variance	Plan	Mitigated Actual	Mitigated Variance
<u>In Sector</u>											
Chelsea And Westminster Hospital NHS Foundation Trust	14,114	14,568	231	14,799	(685)	16,951	17,829	(878)	33,881	35,454	(1,573)
The Hillingdon Hospitals NHS Foundation Trust	245	271	(3)	268	(23)	295	320	(25)	588	647	(59)
Imperial College Healthcare NHS Trust	30,903	31,255	(420)	30,836	67	37,104	37,336	(232)	73,211	75,200	(1,989)
London North West Healthcare NHS Trust	949	961	(36)	925	24	1,106	1,112	(6)	2,208	2,200	8
Royal Brompton and Harefield NHS Foundation Trust	290	535	(1)	534	(244)	348	642	(294)	695	1,128	(432)
<u>Out of Sector</u>											
Guy's And St Thomas' NHS Foundation Trust	563	690	(2)	688	(124)	677	827	(150)	1,350	1,652	(302)
King's College Hospital NHS Foundation Trust	234	145	(0)	145	89	281	281	0	561	561	0
Moorfields Eye Hospital NHS Foundation Trust	285	338	0	338	(53)	342	408	(65)	684	811	(126)
Royal Free London NHS Foundation Trust	172	193	0	193	(21)	206	232	(26)	414	464	(50)
St Georges University Hospitals NHS Foundation Trust	250	281	(0)	280	(31)	299	337	(37)	599	673	(74)
The Royal Marsden NHS Foundation Trust	320	277	(3)	274	46	384	331	53	768	660	108
University College London Hospitals NHS Foundation Trust	690	697	(2)	696	(5)	831	838	(7)	1,656	1,673	(16)
Other Out of Area Providers	733	747	(2)	746	(13)	880	897	(17)	1,759	1,814	(55)
Acute SLA Contract Total	49,749	50,958	(237)	50,721	(973)	59,705	61,390	(1,684)	118,376	122,935	(4,559)
<u>Reserves</u>											
Acute SLA - Reserve						581	0	581	1,600	0	1,600
Grand Total	49,749	50,958	(237)	50,721	(973)	60,286	61,390	(1,104)	119,976	122,935	(2,959)

Note: All figures show in £'000s

Appendix 1.1: Revenue resource limit

Key Messages

In Month Transfers:

RRL Increase - Latent TB Q2 funding from NHS England

RRL Decrease - Safeguarding Children and NWL Financial Strategy hosted by Central London CCG

Month	Recurrent / non recurrent	Allocation	Confirmed £'000s	Anticipated £'000s	Total £'000s
Baseline	Recurrent	Initial CCG Programme Allocation	259,170		259,170
Baseline	Non recurrent	Return of Surplus/(Deficit)	9,154		9,154
3	Non-Recurrent	Eating Disorder Service	106		106
3	Non-Recurrent	Latent TB Q1	22		22
3	Non-Recurrent	HLP	(389)		(389)
3	Non-Recurrent	Levies	(85)		(85)
3	Non-Recurrent	Chel West MFF Impact	(1,087)		(1,087)
5	Non-Recurrent	Safeguarding Children Named GPs	26		26
5	Non-Recurrent	GP Development Programme - Reception and Clerical Training	18		18
6	Non-Recurrent	Safeguarding Children Named GPs	(26)		(26)
6	Non-Recurrent	NWL Financial Strategy - Part A	(4,205)		(4,205)
6	Non-Recurrent	Latent TB Q2	22		22
Programme allocation total			262,726	0	262,726
Baseline	Recurrent	Initial CCG Running Cost Allocation	3,885		3,885
3	Non-Recurrent	Levies	(44)		(44)
Running cost allocation			3,841	0	3,841
Total RRL			266,567	0	266,567

Source: Financial ledger

Appendix 2.1: RAG rating definitions

The RAG rating definitions are as follows:

1. Financial position in month - Red is greater than or equal to 2%, Amber is less than 2% but greater than 0% and green is less than or equal to 0%.
2. Financial position year to date - Red is greater than or equal to 1%, Amber is less than 1% but greater than 0% and green is less than or equal to 0%.
3. Financial position forecast outturn - Red is greater than or equal to 0.5%, Amber is less than 0.5% but greater than 0% and green is less than or equal to 0%.
4. Running costs forecast outturn - Red is greater than or equal to 0.5%, Amber is less than 0.5% but greater than 0% and green is less than or equal to 0%.
5. QIPP year to date / QIPP forecast outturn / Investment plan - Red is greater than or equal to 20%, Amber is less than 20% but greater than 5% and green is less than 5%.
6. Risks and opportunities - Red is greater than or equal to 0.5%, Amber is less than 0.5% but greater than 0% and green is less than 0%.
7. Creditors - Better Payment Practice Code - Red is less than or equal to 92.5%, Amber is less than 95% but greater than 92.5% and green is greater than or equal to 95%.
8. Capital outturn - Red is greater than or equal to 10%, Amber is greater than 0.5% but less than 10% and green is less than 0.5%.
9. Cash drawn down to date - Red is less than or equal to 85%, Amber is less than 95% but greater than 85% and green is greater than or equal to 95%.