

Item 12
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<b>Date</b>	Tuesday, 08 November 2016					
<b>Title of paper</b>	<b>Governance Framework for the 2017/19 Operational Plans</b>					
<b>Presenter</b>	Clare Parker, Chief Officer					
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<b>Responsible Director</b>	Clare Parker, Chief Officer					
<b>Clinical Lead</b>	Chair					
<b>Confidential</b>	<table border="1"> <tr> <td><b>Yes</b></td> <td><input type="checkbox"/></td> <td><b>No</b></td> <td><input checked="" type="checkbox"/></td> <td>Items are only confidential if it is in the public interest for them to be so</td> </tr> </table>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>	<input checked="" type="checkbox"/>	Items are only confidential if it is in the public interest for them to be so
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**The Governing Body is asked to:**

- **agree** to delegate the approval of the draft budgets for 2017/18 and 2018/19 to the Finance and Performance Committee as this will enable the operating plan and contracts to be agreed within both the budgetary envelope and within the compressed contracting round instigated by NHS England; and
- **note** that the Chief Officer will lead the contract negotiation round with providers in the context of the Sustainability and Transformation Plan.

**Summary of purpose and scope of report**

Operating Planning guidance was issued by NHS England on 22 September 2016 along with the technical guidance. This is about three months earlier than in previous years but does enable us to plan earlier in the year for 2017/18 and 2018/19.

Other notable changes to previous years are that contracts with our main providers need to be for two years and the contracting needs to be carried out in the context of the Sustainability and Transformation Plan (STP). The deadline for signing contracts and for submitting two-year operating plans is 23 December 2016.

We are asking the governing body to agree to delegate to the Finance and Performance Committee approval of the draft budgets for 2017/18 and 2018/19. Such budgets will be based on the financial assumptions as set out in the STP. This will enable the operating plan and contracts to be agreed within the budgetary envelope and before the 23 December deadline.

The Governing Body is also asked to note that the Chief Officer will lead the contract negotiation round with providers in the context of the Sustainability and Transformation Plan.

**Quality & Safety/ Patient Engagement/ Impact on patient services:**

The shared, system, approach to planning enables us to plan for and deliver our shared tasks: implement the Five Year Forward View to drive improvements in health and care; restore and maintain financial balance; and deliver core access and quality standards. The planning documentation will include two-year CQUIN and quality premium schemes.

The latter relates to CCGs and NHS England has streamlined the indicator set. These will be agreed by the Finance and Performance Committee.

#### **Finance, resources and QIPP**

We are working with NHS England and NHS Improvement so that financial control totals are agreed at organisation and system levels. The expectation is that both the commissioners and providers will be in financial balance over each of the two years that these plans will cover.

#### **Equality / Human Rights / Privacy impact analysis**

Assessments in these areas will be carried out as we plan specific programmes of work to deliver the operational plan.

<b>Risk</b>	<b>Mitigating actions</b>
That quality and safety considerations are not given as high a prominence as they should have leading to challenges in the delivery phase.	Quality leads to be an integral part of the planning process. Quality forms part of the STP delivery programmes.
That the deadline for completing the contracting round is missed due to delays in securing proper approvals and governance oversight.	Delegate approvals to Committees of the Governing Body that meet formally more frequently.
Accelerated timescales do not allow for meaningful planning and lead to rushed thinking.	Management teams have coalesced into groups in order to ensure plans are developed in a collaborative way. The STP has been developed over a period of time across organisations so much of the thinking has already taken place.
Risk that there are insufficient finances across the system to meet demand.	Planning is taking place across organisational boundaries in order to create system-wide solutions.

#### **Supporting documents**

There are no supporting papers, but for additional information on the planning process, please see the guidance published by NHS England which can be found at:  
<https://www.england.nhs.uk/wp-content/uploads/2016/09/NHS-operational-planning-guidance-201617-201819.pdf>

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**Governance and reporting**

(list committees, groups, other bodies in your CCG or other CCGs that have discussed the paper)

Committee name	Date discussed	Outcome
CWHHE Senior Management Team	17 October 2016	The SMT noted the timetable and sought clarity over the management arrangements that will support successful delivery of these tasks. A team consisting of: Chief Finance Officer, Director of Programmes, Director of Contracts and Performance, Associate Director of Performance and Delivery and the Director of Compliance have been tasked with overseeing this work.