



*Hammersmith and Fulham
Clinical Commissioning Group*

**Month 6 Hammersmith & Fulham CCG
finance and activity performance report**

10-November-15

Contract Acronyms

Key message

The following acronyms are used throughout this pack.

Acronym	Full Provider Name
ASP	Ashford And St Peter's Hospitals NHS Foundation Trust
BLT	Bart's Health NHS Trust
C&W	Chelsea And Westminster Hospital NHS Foundation Trust
CLCH	Central London Community Healthcare NHS Trust
CNWL	Central And North West London MH NHS Foundation Trust
EHT	Ealing Hospital NHS Trust
ESH	Epsom And St Helier University Hospitals NHS Trust
GOSH	Great Ormond Street Hospital For Children NHS Foundation Trust
GSTT	Guys And St Thomas NHS Foundation Trust
HRCH	Hounslow And Richmond Community Healthcare NHS Trust
HUH	Homerton University Hospital NHS Foundation Trust
HWP	Heatherwood And Wrexham Park Hosps NHS Foundation Trust
ICHT	Imperial College Healthcare NHS Trust
KCH	Kings College Hospital NHS Foundation Trust
KHT	Kingston Hospital NHS Trust
MEH	Moorefield's Eye Hospital NHS Foundation Trust
NWLHT	North West London Hospitals NHS Trust
RBH	Royal Brompton And Harefield NHS Foundation Trust
RMH	The Royal Marsden Hospital NHS Foundation Trust
RNOH	Royal National Orthopaedic Hospital NHS Trust
RSC	Royal Surrey County NHS Foundation Trust
SGT	St George's Healthcare NHS Trust
SLAM	South London And Maudsley NHS Foundation Trust
SWL&StG	South West London And St George's Mental Health NHS Trust
THH	The Hillingdon Hospital NHS Foundation Trust
UCLH	University College London NHS Foundation Trust
WHH	The Whittington Hospital NHS Trust
WLMH	West London Mental Health NHS Trust
WMUH	West Middlesex University Hospital NHS Trust

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Executive summary

		Status
Overall financial position	At month 06 the CCG is reporting a year to date surplus of £3.68m, which is on plan and is forecasting delivery of the planned £9.15m surplus. There are a number of movements this month to highlight:	Green
	(1) The acute contract position is overperforming by £3.87m in the year to date and is forecast to be a £6.66m overspend at year end, which is an improvement of £1.42m from the previous month. Acute reserves have been used to fund the £0.47m increase in the ICHT contract for 2015/16 following final sign off, and the balance of £1.36m has been released in full to offset overperformance.	Amber
	(2) An assessment of the reserve required for RTT and ophthalmology activity at ICHT has been undertaken this month using the demand and capacity model received from the Trust. The team have made assumptions whilst clarification is awaited from the Trust and so the estimates are to be treated with caution and will be reviewed on a monthly basis. At month 06 the CCG is forecasting to require £0.89m of the £1.59m reserve to cover the additional activity and so has released £0.69m which has improved the overall position.	
	(3) The prescribing forecast outturn has worsened this month and the CCC is reporting an overspend of £0.26m, based on the PMD forecast data. Further work is underway to understand the reason for this deterioration.	
(4) Overall the forecast outturn for the CCG has improved significantly this month, due to the reduction in the forecast acute overperformance and partial release of the reserve being held for RTT and ophthalmology activity. This has resulted in the CCG no longer requiring support from the CWHHE risk share to balance the position and therefore the £1.8m contribution previously included in the forecast has now been removed.		
	The underlying position reported this month is improving, moving to a surplus of £8.73m. This is largely due to the change in the forecast outturn against the acute contracts which has led to the removal of the non recurrent support from CWHHE. Whilst the position has improved, this is still £0.6m adrift of the planned position for 2015/16.	Amber
Risks and opportunities	The CCG has identified risks of £5.38m in the most likely case. Mitigations of £4.95m have been found locally which will partially offset this leaving a net risk for the CCG of £0.4m. This would be addressed through revisiting the CWHHE risk share. The range of risk is assessed to be an upside of £10.57m to a worsening in the forecast surplus of £8.88m. Slide 5 shows the net risk across the Collaborative and the potential enactment of the risk share, should all risks materialise.	Green
Contract performance	The acute contracts with ICHT and C&W are on a PbR basis for 15/16 and therefore not subject to the protection offered by the income guarantees in place during 14/15. Whilst both contracts are overperforming, the forecast has improved this month by £1.0m at ICHT and £0.52m at C&W. The ICHT improvement is partly due to the £0.46m increase in the contract, with the balance activity driven. At C&W the improvement is due to the closedown of Q1 activity agreed with the Trust and now reflected in the year to date and forecast outturn.	Amber
QIPP	The forecast outturn reflects the QIPP position reported to NHS England, which overall was on plan as at month 06. However, the transformational schemes are only showing delivery of 59% and the QIPP forecast of 100% delivery relies on non recurrent measures of £1.50m.	Amber
Investments and reserves	The CCG has released £0.82m of investment funds as slippage and is now holding uncommitted reserves of £4.46m, as detailed on slide 12. Slide 13 gives the details of the investment proposals being considered by the CCG to show the potential commitment against these remaining funds, which totals £3.05m, leaving a balance of £1.42m uncommitted. Whilst the forecast assumes this will be fully utilised in year, the risks and opportunities includes an assessment of further slippage of these funds.	Green

Source: Team analysis

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CCG Finance Dashboard

Key

- On plan
- Take note
- Action required

Indicator		Target	Actual	Rating this month		
Financial position year to date	Agreed surplus	£3,679k	£3,679k		0.0%	
Financial position forecast outturn	Agreed surplus	£9,154k	£9,154k		0.0%	
Running costs outturn	Agreed surplus	£3,940k	£3,940k		0.0%	
QIPP year to date		£980k	£990k		1.0%	variance from pre-risk plan
QIPP forecast outturn		£5,124k	£5,124k		0.0%	variance from pre-risk plan (see note)
Risks and opportunities	Risk/opportunity	£0k	£100k		1.6%	net (risk) / opportunity outside reported position
Creditors - Better Payment Practice Code		95.0%	97.9%		3.0%	of invoice value paid in 30 days
Capital forecast outturn		£363k	£0k		0.0%	subject to business case
Cash		£131,570k	£125,960k		(4.3%)	variance from plan

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Risks and Opportunities

The risks and opportunities sections of the table show the local issues impacting the CCG. At month 06 the most likely case has risks of £5.38m mitigated by opportunities of £4.95m, leaving a net risk for the CCG of £0.4m. The final sections show the shared risks and opportunities across the Collaborative which at month 06 is a mitigation for the CCG as further support is potentially available to offset the acute overperformance. Further details on the CWHHE position are included on the next slide.

	Amount	Likely Case		Best Case		Worst Case	
		£000s	Probability	Value	Probability	Value	Probability
CCG risks							
Acute overperformance	(6,442)	50%	(3,221)	0%	0	100%	(6,442)
Community	(1,622)	83%	(1,353)	0%	0	95%	(1,548)
Continuing care	(114)	50%	(57)	0%	0	100%	(114)
Primary care	(1,161)	19%	(221)	0%	0	100%	(1,161)
Other risks	(1,023)	51%	(523)	2%	(23)	100%	(1,023)
Total	(10,362)		(5,375)		(23)		(10,288)
CCG opportunities							
Acute RTT activity included in FOT	890	25%	223	100%	890	0%	0
Investment slippage	3,828	45%	1,714	94%	3,587	13%	508
Other budgets not required	1,518	62%	947	92%	1,393	36%	550
Property services	633	74%	468	100%	633	34%	215
Primary care	855	50%	428	100%	855	0%	0
Balance sheet gains	2,712	43%	1,175	100%	2,712	1%	40
Total	10,436		4,954		10,070		1,314
Net CCG (risks)/ opportunities	74		(421)		10,047		(8,974)
Shared risks and opportunities							
Acute overperformance - requiring CWHHE support	521	100%	521	100%	521	100%	521
Total	521		521		521		521
Net shared (risks)/ opportunities	521		521		521		521
Overall net (risk)/ opportunity	595		100		10,568		(8,453)

CWHHE - Risk Share

Month 06 Risk Share Enactment

	Central London	West London	Hammersmith and Fulham	Hounslow	Ealing	CWHHE Total
Acute over performance above reserves	0	0	0	0	0	0
Support provided to balance FOT	0	0	0	0	0	0

Note

The H&F CCG forecast outturn has improved in Month 6 such that support from the risk share mechanism is no longer required. This is largely due to a reduction in the Acute overperformance and a reduction to RTT and Ophthalmology provisions.

Month 06 CWHHE R&O Summary

	Central London	West London	Hammersmith and Fulham	Hounslow	Ealing	CWHHE Total
	£000s	£000s	£000s	£000s	£000s	Value
Acute over-performance	(1,762)	(1,472)	(1,100)	(1,724)	(3,693)	(9,751)
Other CCG risks	(5,162)	(7,188)	(4,275)	(2,009)	(3,792)	(22,426)
Total CCG level risks	(6,923)	(8,661)	(5,375)	(3,733)	(7,485)	(32,177)
Total CCG level opportunities	1,902	10,492	4,954	10,274	9,796	37,418
Net CCG (risk)/ opportunity	(5,021)	1,831	(421)	6,541	2,311	5,241
Adjustments:						
Additional non-recurrent investment (1)	0	0	0	(1,300)	0	(1,300)
Acute over-performance - Risk Share (2)	5,121	(731)	521	(3,141)	(1,770)	0
Potential Additional SaHF Expenditure (to be agreed)		(1,000)	0	(2,000)	(400)	(3,400)
Other Shared Gain	0	0	0	0	0	0
Overall net (risk)/ opportunity	100	100	100	100	141	541

Memo - acute over-performance in forecast outturn (above non-demographic reserve)

£000	-7,292	296	-4,782	-801	-7,096	-19,675
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Notes

Please note all of this is based on potential risks and opportunities and has not been included in the actual financial forecast, unlike the £1.8m noted above. After accounting for the risk share enactment the CCGs have a total net opportunity of £5m, with all West London and Hounslow CCGs having a large net opportunity, and Central having a large net risk; Ealing and H&F having low net opportunities.

Further adjustments to the net position are:

- 1) Hounslow to identify an additional £1.3m of non-recurrent investments.
- 2) Enact further acute risk share transfers to support Central - this has left all CCGs with a small net opportunity which is manageable.
- 3) Potential additional SaHF expenditure has been identified and funded by West and Hounslow CCGs. Note this is only ear-marked as a possibility and could only be enacted if signed off by F&Ps or GBs.
- 4) Another small shared gain re Performance Related Pay

Finally, a memo is included here to show that a significant level of acute over-performance has been reported in the actual forecast out-turn, with all CCGs taking a prudent approach at this early stage in the year.

Underlying Position

Key Messages

The underlying position reported this month is a surplus of £8.73m which is £0.6m below plan. This is an improvement from the position reported last month largely due to the change in the forecast outturn against the acute contracts which has led to the removal of the non recurrent support from CWHHE. The CCG will need to review the financial position and look at actions that can be taken to recover the in year position further and strengthen 16/17.

£'000s	(A) Annual budget at M06			(B) Reported M06		(C) Adjust the 15/16 outturn for non rec and FYE to calculate the 15/16 outturn 'exit rate'					
	CCG 2015/16 Submitted Plan	Actioned In-year changes	CCG 2015/16 Annual Budget at M04	In-year over and under performance	2015/16 Forecast at M04 (agrees to ISFE)	Remove non rec allocations - note 1	Remove non rec spend - note 2	Add back non rec QIPP schemes / benefits	Remove FYE of QIPP	Add FYE of investments	2015/16 outturn exit rate (underlying recurrent position)
		(+/-)		(+/-)		(+/-)	(-)	(+)	(-)	(+)	
Resource											
Programme allocation	267,118	(8,861)	258,257		258,257	(13,812)					244,445
Running cost allocation	3,940		3,940		3,940						3,940
Total resource	271,058	(8,861)	262,197	0	262,197	(13,812)	0	0	0	0	248,385
Spend											
Programme (exc. Headroom)	252,756	(6,364)	246,392	2,711	249,103	(6,321)	(8,577)	1,510			235,715
1.0% headroom	2,497	(2,497)	0		0		0				0
Contingency	2,711		2,711	(2,711)	(0)						(0)
Admin	3,940		3,940		3,940						3,940
Total spend	261,904	(8,861)	253,043	0	253,043	(6,321)	(8,577)	1,510	0	0	239,655
Surplus / (deficit)	9,154	(0)	9,154	0	9,154	(7,491)	8,577	(1,510)	0	0	8,730
%	3.38%		3.49%		3.49%						3.51%

Notes and Supplementary Information

(1) Analysis of non recurrent allocations

Planned 15/16 underlying position 9,349

Worsening (619)

Non recurrent allocations and matched spend	Resource £'000s	NR Spend £'000s
Brought forward surplus and lodgements	13,175	5,684
GPIT	527	527
Additional MH	110	110
Total NR	13,812	6,321

(2) Analysis of spend against the 1.0% headroom and other non recurrent spend

1.0% Headroom & Other Non Recurrent Spend	Other Non Rec Detail	£'000s
1. Other non rec (please state)	NWL strategy	2,497
2. Other non rec (please state)	NWL strategy	2,495
3. Other non rec (please state)	NWL strategy	2,695
4. Other non rec (please state)	RTT	890
Other Non Rec Subtotal		8,577

Key Issues and Actions Relating to Financial Position

Issue	Key drivers	Financial impact YTD, £m	Action	Owner	Timeline
Acute contracts over-performance	ICHT	(£4.028m) pre-mitigations (£2.652m) post-mitigations	<p>The forecast full year variance is based on M5 data and continues the trend of notable pressures against the contract in M1-4. It should be noted that the forecast year end position has marginally improved in M5, although this in the context of M5 (August) typically having lower activity levels.</p> <p>The adverse variance is across all areas but is primarily driven by unplanned care (non-elective) and unplanned care (outpatients), further details of these are outlined below.</p> <p>Actions being taken include:</p> <p>Challenges sent to the Trust and will be actively managed and resolved on a timely basis. The majority of Q1 challenges have been closed down and escalated items are pending a formal trust response due 16 October.</p> <p>Critical Care audit -The trust commitment is that following a full review of the clinical and technical implications of the audit findings the October CQG and PCE meetings will receive a full update.</p> <p>St Marys UCC local tariff discussions are now being translated into the information requirements feeding into discussions regarding a possible re-commissioning of the service.</p> <p>Impact of RTT backlog activity within the Month 5 position: The trust has provided a partially completed demand & capacity analysis. As such for the £1.6m reserves held for in year RTT & Ophthalmology backlog £699k has been released.</p>	David Hill	Nov F&P
	C&W	£0.411m	Hammersmith and Fulham CCG reported an adverse variance of £0.979m unmitigated at M5 YTD and £0.411m post mitigations with a favourable in month variance of £0.123m. The majority of the YTD over performance relates to Outpatients (£0.527m), Electives (£0.203m), Non Electives (£0.296m).	Simon Shelton / Adam Hardman	Nov F&P

Surplus/Deficit including Running Costs

Key message

At month 06 the CCG is reporting a year to date surplus of £3.68m, which is on plan and is forecasting delivery of the planned £9.15m surplus. The acute overperformance seen in previous months has slowed and there has been an improvement in the forecast outturn. Acute reserves, QIPP and general contingency funds have been released in full and the position reported is supported by £1.8m accruals released from prior year.

Allocation	Resource limit	Year to date (£'000s)			Full year (£'000s)			Forecast Variance at M05	Change in FOT Variance
		Budget	Actual	Variance	Budget	Forecast	Variance		
	Acute Contracts	53,314	56,391	(3,077)	108,739	113,345	(4,606)	(4,928)	322
	Other Acute Services	7,397	7,371	26	16,124	16,299	(175)	23	(198)
	Total Acute Services	60,711	63,762	(3,051)	124,863	129,644	(4,781)	(4,905)	124
	Continuing Care	8,911	9,244	(333)	16,575	17,228	(653)	(675)	22
	Community Health	14,525	14,785	(260)	30,797	31,486	(689)	(718)	29
	Mental Health	16,280	16,413	(133)	34,035	34,269	(234)	(423)	189
	Prescribing	10,413	10,490	(77)	20,826	21,086	(260)	29	(289)
	Primary Care	2,505	2,262	243	6,566	6,301	265	502	(237)
	Total Non Acute Services	52,634	53,194	(560)	108,799	110,370	(1,571)	(1,285)	(286)
	Commissioning Non Acute	267	262	5	534	534	0	0	0
	Commissioning Reserves	2,005	0	2,005	7,166	2,214	4,952	4,390	562
	Programme Projects	1,094	1,342	(248)	2,170	2,570	(400)	0	(400)
	Other - commissioned services	1,854	5	1,849	5,571	3,771	1,800	1,800	0
	Total Other	5,220	1,609	3,611	15,441	9,089	6,352	6,190	162
	Total commissioning spend	118,565	118,565	0	249,103	249,103	0	0	0
Running costs	Corporate Costs	1,970	1,970	0	3,940	3,940	0	0	0
	Total expenditure	120,535	120,535	0	253,043	253,043	0	0	0
	Surplus/deficit position	3,678	3,678	0	9,154	9,154	0	0	0

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Movement of Variances including Running Costs

Change in variance from M05 to M06

Initiative	Year to date variance (£'000s)			Forecast outturn variance (£'000s)			Further detail
	Month 6	Month 5	Movement	Month 6	Month 5	Movement	
Acute Contracts	(3,866)	(4,223)	357	(6,664)	(8,553)	1,889	Improvement in ICHT and C&W forecast overperformance
Acute Reserve	788	1,644	(856)	2,057	3,625	(1,568)	Removal of the CWHHE risk share support (£1.8m), release of RTT reserve (£0.7m), and increase in funding ICHT contract (£0.5m)
Other Acute Services	27	360	(333)	(175)	23	(198)	Overperformance on the Inhealth contract
Continuing Care	(332)	(231)	(101)	(653)	(675)	22	
Community Health	(260)	(327)	67	(690)	(718)	28	
Mental Health	(133)	(91)	(42)	(232)	(423)	191	MH cost & volume contracts with NHS providers mainly CNWL
Prescribing	(77)	117	(194)	(260)	29	(289)	Prescribing overspend based on PMD report
Primary Care	242	94	148	265	502	(237)	Reduction in slippage on implementation of OOH services
Commissioning Non Acute	5	0	5	0	0	0	
Commissioning Reserves	2,005	1,014	991	4,952	4,390	562	Slippage assumed against the uncommitted local investment funds
Programme Projects	(248)	(211)	(37)	(400)	0	(400)	IT project spend and project staff recharged from Central London CCG
Recharges	0	0	0	0	0	0	
Other - commissioned services	1,849	1,854	(5)	1,800	1,800	0	
Running Costs	0	0	0	0	0	0	
Total	0	0	0	0	(0)	0	

Source: Financial ledger

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Breakdown of running cost spend

Key message

The CCG is currently operating within the running cost allowance and is forecasting on plan for the remainder of the year.

Allocation	Expenditure	Category	Year to date (£'000s)			Full year (£'000s)			Comments
			Budget	Actual	Variance	Budget	Forecast	Variance	
	Running Cost Allowance		1,970	1,970	0	3,940	3,940	0	
	CHAIR AND NON EXECS	CCG	244	234	10	487	472	15	
	CEO/ BOARD OFFICE	CCG	94	75	19	188	191	(3)	Underspend due to vacancies, which are forecasted to be filled in the second half of the year
	ADMINISTRATION & BUSINESS SUPPORT	CCG	19	19	0	39	28	11	
	BUSINESS DEVELOPMENT	CCG	236	256	(20)	472	549	(77)	Overspend in agency cost
	CONTRACT MANAGEMENT	CCG	20	20	0	39	40	(1)	
	CLINICAL SUPPORT	CCG	63	58	5	126	116	10	
	CLINICAL GOVERNANCE	CCG	12	8	4	24	5	19	
	CCG Pay	CCG	688	670	18	1,375	1,401	(26)	
	CCG Non Pay	CCG	242	257	(15)	484	472	12	
	CCG Direct Cost		930	927	3	1,859	1,873	(14)	
	CSS Charge (Formerly CSU)	Pooled	785	782	3	1,571	1,556	15	15/16 overall CSS reduction across CWHHE + reappportionement to allow H&F to take appropriate share of collaborative costs.
	CWHHE Collaborative Recharge	Pooled	195	201	(6)	390	391	(1)	15/16 overall collaborative recharge reduction across CWHHE + reapportionement to allow H&F to take appropriate share of collaborative costs. This includes office services & the CSS billing shortfall (£55k) and CSS reserve (£23k).
	CSS & CWHHE Recharges		980	983	(3)	1,961	1,947	14	
	Reserves	Mixture	60	60	0	120	120	0	Pooled surplus (120k)
	Total Running Cost		1,970	1,970	0	3,940	3,940	0	
	Surplus/deficit for running costs		0	0	0	0	0	0	

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Collaborative Management Infrastructure

Key Message

CEO Team - Overall underspend due to delay in communications support, forecast to start in M7. Change in admin/prog split for CO and Programme Manager based on workload + Breaking The Cycle training split based on CWHHE workforce gives movement of expenditure from admin to prog.

Collaborative Finance - YTD overspend relates to interim cover of CFO role (now filled). Additional costs for maternity cover from M7 offset by vacant QIPP post.

Governance & Compliance - £69k forecast overspend on legal/professional fees. M1-6 includes interim cover, permanent recruitment is being staggered to break-even on pay.

Additional Collaborative Charges Admin - Boardpad contract to start in Nov. Recurrent yearly cost from 16/17 onwards will be £8k per CCG.

Additional Collaborative Charges Programme - Named GP post funded locally.

CSS Reserve - Assumed to be fully utilised. To review & release any available budget in M7.

	H&F CCG Element						CWHHE Collaboration Total						
	Year to date (£k)			Full year forecast (£k)			Year to date (£k)			Full year forecast (£k)			
	Budget	Actual	Variance	Budget	Outturn	Variance	Budget	Actual	Variance	Budget	Outturn	Variance	
Programme	CEO Team	8	14	(6)	16	29	(12)	45	75	(30)	89	152	(63)
	Collaborative Finance	13	15	(2)	26	34	(9)	70	82	(12)	139	187	(48)
	Safety & Quality	58	51	7	117	111	6	316	276	40	632	602	31
	Governance & Compliance	0	0	0	0	0	0	0	0	0	0	0	0
	Safeguarding	89	87	2	178	174	4	376	374	2	751	745	7
	Office Services	0	0	0	0	0	0	0	0	0	0	0	0
	Additional Collaborative Charges	0	0	0	0	4	(4)	0	2	(2)	0	17	(17)
	CSS - Direct	45	34	11	90	77	13	1,039	796	244	2,079	1,871	207
	CSS - Indirect	519	492	28	1,038	1,037	2	2,021	2,054	(33)	4,041	4,169	(128)
	CSS Reserve	0	0	0	0	0	0	0	0	0	0	0	0
Programme Total	733	692	40	1,465	1,466	(1)	3,866	3,657	209	7,732	7,743	(11)	
Admin	CEO Team	67	57	10	134	122	13	544	442	103	1,089	947	142
	Collaborative Finance	42	46	(4)	83	84	(1)	337	370	(33)	674	684	(10)
	Safety & Quality	14	14	0	28	27	0	113	112	0	226	222	3
	Governance & Compliance	31	42	(11)	62	70	(8)	249	336	(87)	499	566	(68)
	Safeguarding	0	0	0	0	0	0	0	0	0	0	0	0
	Office Services	14	15	(1)	29	30	(2)	73	75	(2)	146	149	(3)
	Additional Collaborative Charges	0	0	0	0	3	(3)	0	0	0	0	16	(16)
	CSS - Direct	530	486	44	1,060	1,000	60	3,112	3,391	(278)	6,225	6,463	(238)
	CSS - Indirect	255	296	(41)	511	555	(45)	3,245	2,947	298	6,491	6,153	338
	CSS Reserve	27	27	0	55	55	0	221	221	0	441	441	0
Admin Total	980	983	(3)	1,961	1,947	14	7,895	7,895	0	15,790	15,641	148	
Total	CEO Team	75	71	5	151	151	0	589	516	73	1,178	1,099	79
	Collaborative Finance	54	61	(6)	109	119	(10)	407	452	(45)	813	871	(58)
	Safety & Quality	72	65	7	144	138	6	429	388	41	858	824	34
	Governance & Compliance	31	42	(11)	62	70	(8)	249	336	(87)	499	566	(68)
	Safeguarding	89	87	2	178	174	4	376	374	2	751	745	7
	Office Services	14	15	(1)	29	30	(2)	73	75	(2)	146	149	(3)
	Additional Collaborative Charges	0	0	0	0	8	(8)	0	2	(2)	0	33	(33)
	CSS - Direct	575	520	55	1,150	1,077	73	4,152	4,187	(35)	8,304	8,334	(30)
	CSS - Indirect	774	788	(14)	1,549	1,592	(43)	5,266	5,001	265	10,532	10,322	210
	CSS Reserve	27	27	0	55	55	0	221	221	0	441	441	0
Grand Total	1,713	1,675	38	3,426	3,413	13	11,761	11,552	209	23,522	23,385	137	

Investments and Reserves

Key Messages

The table shows the investments allocated in budgets and the reserves available to the CCG to invest over the remainder of 2015/16. The reserves that are currently uncommitted are highlighted and as investment decisions are made funding will be held as committed, and then transferred into budgets once schemes commence. The reserves shown as released are those which are being used to balance the CCG position - at month 06 this is £4.50m from the commissioning reserve in addition to the £1.36m acute reserve. The next slide shows the investment proposals currently in development.

Allocated	Budget	Scheme	Provider	Lead Manager	Budget 15/16 £000	Recurrent £000	Non- Recurrent £000	YTD Planned £000	Actual Spend £000	Variance £000	FOT £000	Full Year Variance £000
In budget	Acute commissioning	Transformation office	ICHT	E Youard	486	486	0	0	0	0	486	0
	Acute commissioning	System resilience	TBC	E Youard	1331	1331	0	0	0	0	1331	0
	Community	SDIPs - Virtual ward	CLCH	K Sadler	507	507	0	211	211	0	507	0
	Community	SDIPs - Homeless health	CLCH	K Sadler	111	111	0	46	46	0	111	0
	Community	SDIPs - In reach reablement	CLCH	K Sadler	217	217	0	48.7	49	0	217	0
	Community	Dermatology contract variation	CLCH	K Sadler	111	111	0	0	0	0	111	0
	Community	Community gynaecology	ICHT	J Simpson	362	362	0	151	151	0	362	0
	Community	Ophthalmology		K Sadler	412	412	0	206	0	206	206	206
	Community	Neuro-rehab interim beds	ICHT	K Sadler	392	392	0	163	163	0	392	0
	Community	CIS medical cover	GP / ICHT	T Hyde	82	82	0	41	41	0	82	0
	Community	BCF - D1 IT Integration		K Sadler	59	59	0	30	30	0	59	0
	Community	BCF - D3 Care Act Implementation		K Sadler	517	517	0	259	259	0	517	0
	Mental health	Women & Girls Network		H Poole	56	56	0	28	28	0	56	0
	Mental health	Limes Service	WLMHT	K Sadler	169	169	0	70	70	0	169	0
Primary care	Extended hours funding to primary care		H Poole	400	400	0	0	0	0	400	0	
In budget total					5212	5212	0	1254	1048	206	5006	206
Reserves	Acute	Contract reserve		Released	1359	1359	0	894	0	894	0	1,359
	Acute	Contract reserve - IFR		Committed	335	335	0	0	0	0	335	0
	Acute	Contract reserve - RTT / Ophthalmology		Committed	1590	1590	0	0	0	0	891	699
	Community	CIS 14/15 - social work and medical cover		Committed	561	561	0	0	0	0	406	155
	Community	BCF - neuro-rehab contingency		Committed	27	27	0	0	0	0	27	0
	Community	BCF investment		Uncommitted	433	433	0	0	0	0	433	0
	Community	Contract reserve		Uncommitted	346	346	0	0	0	0	346	0
	Mental health	Perinatal service extension	WLMHT	Committed	97	97	0	0	0	0	49	48
	Mental health	Urgent care	WLMHT	Committed	504	504	0	0	0	0	126	378
	Mental health	CAMHS out of hours		Committed	75	75	0	0	0	0	75	0
	Mental health	Parity of Esteem		Uncommitted	801	801	0	0	0	0	801	0
	Non recurrent programmes	WSIC - social prescribing		Committed	100	0	100	0	0	0	100	0
	Non recurrent programmes	WSIC allocation		Uncommitted	650	0	650	0	0	0	650	0
	Commissioning reserve	Winter marketing		Committed	68	0	68	0	0	0	68	0
	Commissioning reserve	Medical cover in care homes		Committed	118	0	118	0	0	0	118	0
	Commissioning reserve	Diagnostic cloud		Committed	198	12	186	0	0	0	198	0
	Commissioning reserve	CCG local investment		Uncommitted	2230	294	1936	0	0	0	2230	0
	Commissioning reserve	CCG local investment - YTD release		Released	815	0	815	815	0	815	0	815
	Commissioning reserve	QIPP contingency		Released	1026	0	1026	513	0	513	0	1,026
Commissioning reserve	General contingency		Released	2711	2711	0	840	0	840	0	2,711	
Reserves total					14044	9145	4899	3061.5	0	3061.5	6853	7191
Total					19,256	14,357	4,899	4,315	1,048	3,268	11,859	7,397

Investments Pipeline

Key Messages

The table shows the investment proposals currently being developed in the CCG, which total £3.05m. The uncommitted funds available to support further investment were highlighted on the previous slide, a total of £4.46m. This leaves a balance of £1.42m. At month 06 the forecast outturn reported assumes further schemes will be developed and this remaining balance committed over the remainder of the year.

Service area	Investment proposals	Recurrent £000	Non-Recurrent £000	Total £000	Lead Manager
Acute	TB service transfer and cost pressure	182	0	182	J Goddard
Community	Diabetes psychological medicine service	0	96	96	J Goddard
Community	Respiratory	0	20	20	J Goddard
Community	ICP care homes	0	4	4	J Platt
Community	Lymphodaema service	0	30	30	J Platt
Community	CIS lead provider investment	256	0	256	T Hyde
Community	BCF - B1 patients/service user experience and care planning	147	0	147	J Platt
Community	BCF- B2 personal health and care budget	30	0	30	J Platt
Community	S75 potential new schemes - LD	310	0	310	S Martin
Community	Tissue viability	45	0	45	K Sadler
Community	Chronic fatigue	9	0	9	K Sadler
Community	LD App	0	10	10	J Platt
Community	Review of placements/packages processes and panels	0	10	10	J Platt
Community	Delivery Manager post BCF C1 project	0	15	15	J Platt
Mental health	CAMHS pilot match funding	0	50	50	S Buckerfield
Mental health	Digital mental health	0	60	60	K Sadler
Mental health	Eating disorder phase one	0	101	101	S Buckerfield
Primary care	OOHS mobilisation	0	100	100	H Poole
Other	WSIC - bids for remaining allocation	0	650	650	H Poole/K Sadler
Other	SystemOne	0	900	900	H Poole
Other	Research by design	0	20	20	J Cree
Total investment proposals		979	2,066	3,045	
Uncommitted reserves at M06		1,874	2,586	4,460	
Balance of available funds after investment proposals		895	520	1,415	

Quality, Improvement, Productivity plan (QIPP)

Key Messages

The forecast outturn reflects the QIPP position reported to NHS England, shown below, which overall was on plan as at month 06. However, the transformational schemes are only showing delivery of 59% and the QIPP forecast of 100% delivery relies on non recurrent measures of £1.50m.

	15/16 Target		YTD					Forecast					Recurrent					Non Recurrent			
	£m	% of Allocation	Plan £m	Actual £m	Var £m	% of Plan	RAG	Actual £m	Var £m	% of Plan	RAG	Plan £m	FOT £m	Var £m	% of Plan	RAG4	Plan £m	FOT £m	Var £m	RAG5	
Transactional QIPP																					
Acute services	36	0.1%	0.17	0.22	0.05	131.6%	G	0.27	(0.09)	74.2%	R	0.36	0.27	(0.09)	74.2%	R	0.00	0.00	0.00	G	
Mental Health Services	0.00	0.0%	0.00	0.00	0.00			0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00		
Community Health Services	24	0.1%	0.12	0.08	(0.04)	67.8%	R	0.16	(0.08)	67.8%	R	0.24	0.16	(0.08)	67.8%	R	0.00	0.00	0.00	G	
Continuing Care Services	.32	0.1%	0.16	0.00	(0.16)	0.0%	R	0.14	(0.18)	43.1%	R	0.33	0.14	(0.19)	43.1%	R	0.00	0.00	0.00	G	
Primary Care services	1.10	0.4%	0.55	0.55	(0.00)	100.0%	G	1.10	(0.00)	100.0%	G	1.10	1.10	0.00	100.0%	G	0.00	0.00	0.00	G	
Other Programme Services	.52	0.2%	0.22	0.69	0.47	316.3%	G	1.92	1.40	372.8%	G	0.52	0.42	(0.10)	81.6%	A	0.00	1.50	1.50	G	
Primary Care Co-Commissioning	0.00	0.0%	0.00	0.00	0.00				0.00			0.00		0.00			0.00	0.00	0.00		
Commissioning Services Total	2.54	1.0%	1.22	1.54	0.32	126.7%	G	3.59	1.05	141.3%	G	2.54	2.09	(0.45)	82.3%	A	0.00	1.50	1.50	G	
Running Costs	0.00	0.0%	0.00	0.00	0.00			0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00		
Transactional QIPP TOTAL	2.54	1.0%	1.22	1.54	0.32	126.7%	G	3.59	1.05	141.3%	G	2.54	2.09	(0.45)	82.3%	A	0.00	1.50	1.50	G	
Transformational QIPP																					
Acute services	3.31	1.3%	1.18	0.47	(0.71)	59.7%	R	1.38	(1.93)	41.7%	R	3.31	1.38	(1.93)	41.7%	R	0.00	0.00	0.00	G	
Mental Health Services	0.00	0.0%	0.00	0.00	0.00			0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00		
Community Health Services	(.73)	-0.3%	(0.19)	0.08	0.27	-41.8%	R	0.15	0.88	-20.6%	R	(.73)	0.15	0.88	-20.6%	R	0.00	0.00	0.00	G	
Continuing Care Services	0.00	0.0%	0.00	0.00	0.00			0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00		
Primary Care services	0.00	0.0%	0.00	0.00	0.00			0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00		
Other Programme Services	0.00	0.0%	0.00	0.00	0.00			0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00		
Primary Care Co-Commissioning	0.00	0.0%	0.00	0.00	0.00			0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00		
Commissioning services Total	2.58	1.0%	0.99	0.55	(0.44)	55.5%	R	1.53	(1.05)	59.3%	R	2.58	1.53	(1.05)	59.3%	R	0.00	0.00	0.00	G	
Running Costs	0.00	0.0%	0.00	0.00	0.00			0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00		
Transformational QIPP TOTAL	2.58	1.0%	0.99	0.55	(0.44)	55.5%	R	1.53	(1.05)	59.3%	R	2.58	1.53	(1.05)	59.3%	R	0.00	0.00	0.00	G	
Unidentified QIPP	0.00	0.0%	0.00	0.00	0.00			0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00		
TOTAL QIPP	5.12	2.0%	2.21	2.09	(.12)	94.7%	A	5.12	(.00)	100.0%	G	5.12	3.62	(1.50)	70.7%	R	0.00	1.50	1.50	G	

high quality support to commissioners to improve health and wellbeing

Capital, Creditor Payments and Cash

Key message

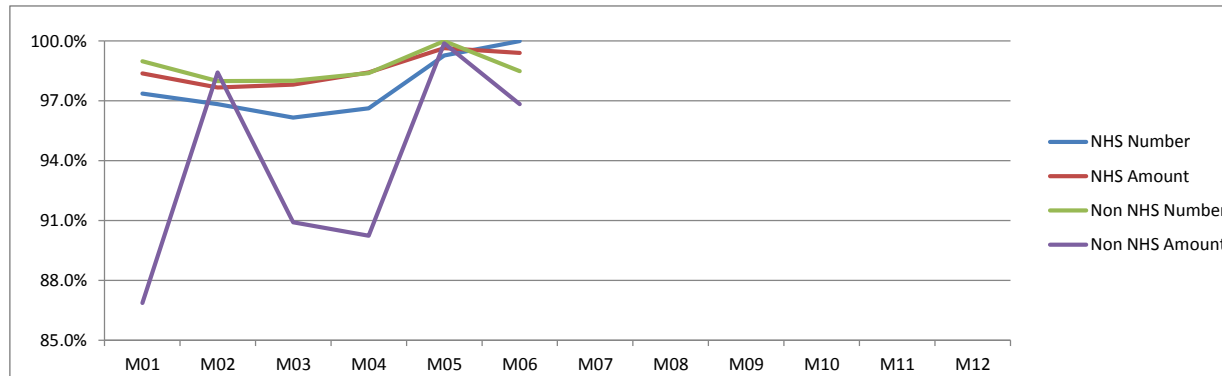
Capital

- The CCG has received a capital allocation of £0.363m for 2015/16 to cover corporate and GP IT requirements.

	Invoice Count	Invoice Count (Passed)	% Passed	BPPC Amount	Invoice Amount (Passed)	% Amount Passed
NHS	1418	1294	91.3%	86,338,908	85,211,790	98.7%
Non NHS	4495	4379	97.4%	12,536,516	11,582,017	92.4%
Total	5913	5673	95.9%	98,875,423	96,793,807	97.9%

The CCG has met the cumulative Better Payment Practice Code (BPPC) target for the invoice amount and invoice numbers. The CCG has also met the Non NHS value of invoices target. We are currently working with budget holders to ensure that invoices are coded and that outstanding queries are dealt with promptly in order that invoices are paid within payment terms.

Creditor payments



Cash

- Maximum Cash Drawdown Plan: £252.47m* Drawn Down to Date: £125.96m
- Forecast Draw Down at Month 6: £131.57m Under Drawn: £5.61m

*The Maximum cash drawn (MCD) limit for 2015/16 is £252.47m as circulated by NHSE in the September 2015 cash report. The September cash report is showing a drawdown of £20.0m (£18.1m less drug prescribing). The MCD can change during the year due to changes in the Revenue Resource (RRL) limit as CCG may receive new funding streams or have funding withdrawn by NHS England. NHS England will provide an opportunity for CCG's to request further or to reduce its MCD in light of projected cash requirements at year end. The forecast cash drawdown is based on the Planned MCD of £252.47m.

Source: team analysis