

Item: 16 (ii)

<b>Date</b>	Tuesday, 15 January 2019
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<b>Title of paper</b>	<b>Hammersmith and Fulham Clinical Commissioning Group Corporate Risk Register</b>
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<b>Presenter</b>	Janet Cree, Managing Director		
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<b>Responsible Director</b>	Janet Cree, Managing Director		
<b>Clinical Lead</b>	Tim Spicer		
<b>Confidential</b>	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Items are only confidential if it is in the public interest for them to be so

<b>The Governing Body is asked to:</b>	<ul style="list-style-type: none"> <li>• <b>Note</b> the detail and current position of each corporate risk entries in the corporate risk register rated as 15 and above.</li> <li>• <b>Note</b> that since the last iteration of the corporate risk register;             <ul style="list-style-type: none"> <li>○ The number of strategic risks on the corporate risk register has increased from eight to nine</li> <li>○ A new financial risk associated with GP at Hand list size growth is added to the register, previously encompassed as part of a wider QIPP risk.</li> <li>○ There are no changes to the current risk scores for all other risks.</li> </ul> </li> </ul>
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<b>Summary of purpose and scope of report</b>	<p>The BAF is being presented to the Governing Body alongside the CCG entries in the Corporate Risk Register (CRR) that are scored 15 or above. This is to enable the Governing Body to gain a more holistic view of the principle risks the organisation faces in pursuit of its objectives and the measures in place to manage those risks.</p> <p>The extract from the Hammersmith and Fulham CCG corporate risk register, attached to this report, shows all risks scored at 15 or above. It should be noted that each of the Governing Body committees have reviewed the risks relevant to the business of the committee. Where required, greater assurance has been provided to the committees on the mitigations in place. The committees will continue to review the assurances against each risk and to report any concerns to the Governing Body in future reports on the Corporate Risk Register.</p> <p>A number of measures have been instigated to improve the systemisation and quality of risk reporting and are refining these with the NW London Senior Management Team.</p>
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#### **Action**

The Governing Body is asked to:

- **Note** the detail and current position of each corporate risk entries in the corporate risk register rated as 15 and above.
- **Note** that since the last iteration of the corporate risk register;
  - The number of strategic risks on the corporate risk register has increased from eight to nine
  - A new financial risk associated with GP at Hand list size growth is added to the register, previously encompassed as part of a wider QIPP risk.
  - There are no changes to the current risk scores for all other risks.

#### **Quality & Safety/ Patient Engagement/ Impact on patient services:**

Each of the identified risks has an element of quality associated with them. As reports are made to future meetings of the Governing Body any key quality, patient engagement/patient impact issues will be highlighted in order to bring these to the attention of the Governing Body.

#### **Finance, resources and QIPP**

The financial risks for the CCG are set out in the corporate risk register and those rated 15 or above appear in the current extract. There is a key corporate objective linked to the delivery of the CCG's year-end financial position. In general progress against this will be reported in the monthly finance reports to the Finance and Performance Committee and a report brought to the Governing Body for its meetings in public. Where there is a specific risk to the delivery of the financial objective not covered in the usual financial reporting, this will be highlighted in future reports on the corporate risk register.

#### **Equality / Human Rights / Privacy impact analysis**

A failure to maintain a proper risk register would have negative equalities impacts in an indirect sense. No specific impact analyses have been undertaken in preparing the proposed corporate objectives. Where appropriate any related quality, human rights or privacy aspects of the corporate objectives within the risks listed in the corporate risk register will be highlighted in future reports.

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Risk	Mitigating actions
<p>This document sets out the key risks to the delivery of our strategic objectives.</p>	<p>Controls, assurances and action plans are in place to address these key risks. The Governing Body should scrutinise the entries and seek assurances that the risks are being managed appropriately.</p>

<b>Supporting documents</b>		
Appendix A - high-level corporate risks scored 15 plus		
<b>Governance and reporting</b>		
(list committees, groups, other bodies in your CCG or other CCGs that have discussed the paper)		
Committee name	Date discussed	Outcome
Finance and Performance Committee	November 2018	Financial risks were reviewed in detail by members. Following feedback from the committee, a further review of the risk areas was undertaken in December with the proposed amendments incorporated.
Joint Quality and F&P Committee	November 2018	Joint Quality and F&P members deliberated the risks fully. Further clarity was provided on risk HF160 – (that West London NHS Trust has given notice on the provision of female PICU (psychiatric intensive care unit). The name of the provider was altered to reflect the recent name change. All other risks were further reviewed in December.
Quality Committee	November 2018	The committee reviewed the risks and felt assured that the mitigations currently in place were appropriate. All risks were further reviewed in December.
Primary Care Commissioning Committee (PCCC)	October 2018	A detailed discussion was had on the Primary Care Risks, with the suggested amendments to risks

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		<p>HF116, HF117 and HF119 now actioned and reflected in the current iteration of the corporate risk register.</p> <p>All risks were further reviewed in December.</p>
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