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Date	Tuesday, 09 January 2018
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Title of paper	Board Assurance Framework (v4.8) 2017/18
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Presenter	Ben Westmancott, Director of Compliance			
Author	Various Directors – Co-ordinated by Director of Compliance			
Responsible Director	Ben Westmancott, Director of Compliance			
Clinical Lead	CCG Chair			
Confidential	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Items are only confidential if it is in the public interest for them to be so	

The Governing Body is asked to:

Discuss the CWHHE Board Assurance Framework v4.8; and

Provide any comments to the CWHHE Governance team, regarding further assurances or clarification needed, also on how this information is best presented to enable effective discussion with a view to approving.

Summary of purpose and scope of report

The Board Assurance Framework sets out the CCG’s strategic objectives and the key risks to successful delivery. Each entry describes the controls in place and the assurances that tell us whether we are on course.

Comments from CCGs have been incorporated on the rear of each entry, together with commentary from the governance team.

The key areas to draw attention to are:

- 1) with respect to Delivery Area 1 risks (1.1 and 1.2), the focus has shifted during the year to the role of Public Health in ill-health prevention, and we will hold discussions at the Joint Health and Care Transformation Group in order to get a clearer view on what we should focus on in 2018/19 if we are going to meet the aspirations of the Sustainability and Transformation Partnership;
- 2) risk 4.1, mental health new models of care, has increased following a decision to not fund some projects - the Governing Body should consider whether this is a level of risk we are prepared to accept and what we should focus our limited resources on in 2018/19;
- 3) risk 4.2, mental health for children and young people, has been reworked following comments at the previous meeting and the Governing Body should consider whether it is



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content with the new presentation;

4) risk 6.1, finance, has been separated out into three component parts following feedback made at the previous Governing Body meeting - the Governing Body should consider whether it is content with the new presentation (NB CCG's have not had a chance to comment on the new entries). It should be noted that since the last BAF was presented there has been deterioration in the financial position of some CCGs, particularly Central London and Hammersmith and Fulham. Consequently the local scores have been adjusted to reflect these changes; and

5) risk 6.4, workforce, the risk score has increased, the Governing Body should note and comment on the additional information provided on this entry and comment specifically on what action should be taken as a result, particularly on the rise in risk score and the lack of assurance that the workforce strategy will deliver intended outcomes and on the low compliance with the appraisal and mid-year review process.

Quality & Safety/ Patient Engagement/ Impact on patient services:

There are risks relating to the quality of services and impacts on patient outcomes. These specifically relate to sustainability plans and unintended consequences arising from the changes we are making. The BAF will help us to manage and improve quality.

Finance, resources and QIPP

The exercise has helped us to describe the risks relating to finance, particularly in the context of having to achieve a control total across the sector (NW London).

Equality / Human Rights / Privacy impact analysis

There is no negative impact on equalities, human rights and privacy relating to the BAF.

Risk	Mitigating actions
This document sets out the key risks to the delivery of our strategic objectives.	Controls, assurances and action plans are in place to address these key risks.

Supporting documents

None

Governance and reporting

(list committees, groups, other bodies in your CCG or other CCGs that have discussed the



**Healthy
Future**



**Hammersmith and Fulham
Clinical Commissioning Group**

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paper)		
Committee name	Date discussed	Outcome
CCG review	Nov / December	Comments received were provided to risk owners
Risk owners review	Nov / December	Updates were provided to CWHHE Governance team